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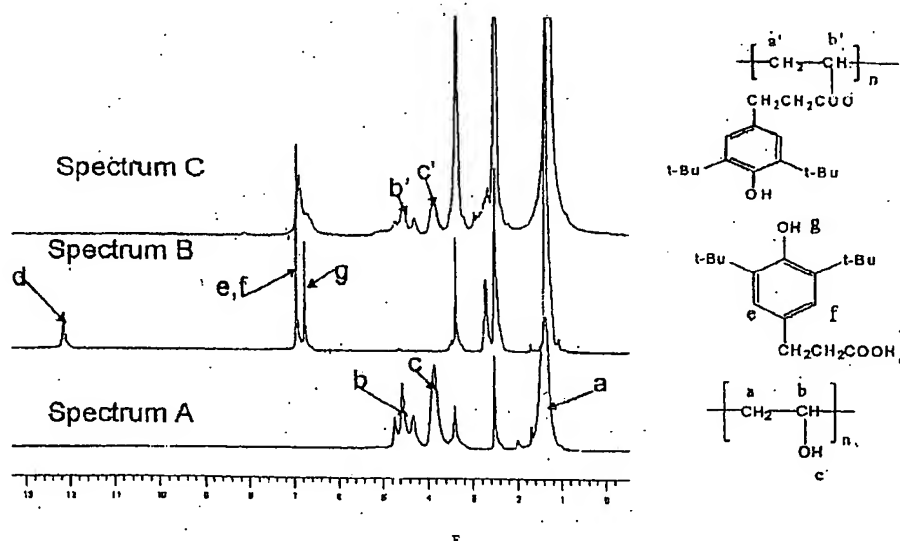
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(54) Title: POST-COUPLING SYNTHETIC APPROACH FOR POLYMERIC ANTIOXIDANTS



(57) Abstract: A method of preparing an antioxidant polymer includes forming or obtaining a first polymer having reactive pendant groups, where the first polymer does not include cyclic anhydride repeat units, and derivatizing the first polymer with an antioxidant. Another method of preparing an antioxidant polymer includes forming or obtaining a first polymer having reactive pendant groups and derivatizing the first polymer with an antioxidant, where the antioxidant is attached to the first polymer by an acetal, amide, amine, carbamate, carbonate, ester, ether or thioether linkage or by a carbon-carbon bond. The invention is also directed to polymers that are generally prepared by these methods, compositions that include such polymers and methods of using such polymers.



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- 1 -

POST-COUPPLING SYNTHETIC APPROACH FOR POLYMERIC ANTIOXIDANTS

RELATED APPLICATION

- 5 This application claims the benefit of U.S. Provisional Application No. 60/537,983, filed January 21, 2004, the entire teachings of which are incorporated herein by reference.

GOVERNMENT SUPPORT

- 10 The invention was supported, in whole or in part, by a grant DMR-9986644 from the National Science Foundation. The Government has certain rights in the invention.

BACKGROUND OF THE INVENTION

- 15 Synthetic antioxidant preservatives are added to a wide variety of products during processing and storage. The types of products include foods, plastics and packaging materials. When an oxidizing event takes place in a product, the antioxidant molecules rapidly react to form antioxidant radicals. This reaction protects the product from damage resulting from the oxidizing event and
- 20 consequently increases the shelf life of the product. Common synthetic antioxidant preservatives include butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT), *tert*-butylhydroquinone (TBHQ), di-*tert*-butylhydroquinone (DTBHQ), and propyl gallate. There are also naturally occurring antioxidants, which include
- 25 sesamol, sesamin, vitamin A and beta-carotene, vitamin E and tocopherols and vitamin C.

 The use of antioxidant preservatives can be particularly common in foods with significant unsaturated lipid content. These foods also contain quantities of unsaturated fatty acids. Unsaturation in fatty acids makes lipids susceptible to

oxidation, which in turn leads to complex chemical changes in the lipids. These chemical changes eventually manifest themselves in the development of off-flavors (rancidity) in foods. The oxidation of unsaturated fatty acids can typically be mediated by free radicals, which can be caused by heat, light, ionizing radiation, trace metals and some proteins. The use of antioxidant preservatives in lipid-containing foods minimizes rancidity, retards the formation of toxic oxidation products, allows maintenance of nutritional quality and increases the shelf life. The mechanism by which the antioxidant preservatives are believed to act involves scavenging peroxy radicals and preventing propagation of the oxidation process. The antioxidant activity of these compounds can be lost upon scavenging a free radical, so a food or other product can be no longer protected from oxidation once all the antioxidant preservative has reacted with a free radical. In other words, the degree of protection from oxidation can depend on the quantity of antioxidant preservative that is present.

Unfortunately, there are restrictions on the amount of synthetic antioxidant preservatives that can be added to a product, especially products intended for human or animal consumption. The U.S. Food and Drug Administration limits the amount of BHA and BHT in foods to 0.02% of total fat, because these compounds are suspected to be carcinogenic.

Consequently, there is a need for a new class of synthetic antioxidant preservatives that are less toxic to humans and animals. Also, it would be advantageous to develop an antioxidant preservative with increased potency and the ability to be readily processed with a variety of materials. Antioxidant preservatives with these improved properties would increase the shelf life and palatability of lipid-containing food items, as well as other products containing moieties (e.g., unsaturated carbon-carbon bonds) that can be damaged by free radicals.

SUMMARY OF THE INVENTION

A first aspect of the present invention includes a method of preparing an antioxidant polymer, which includes the steps of:

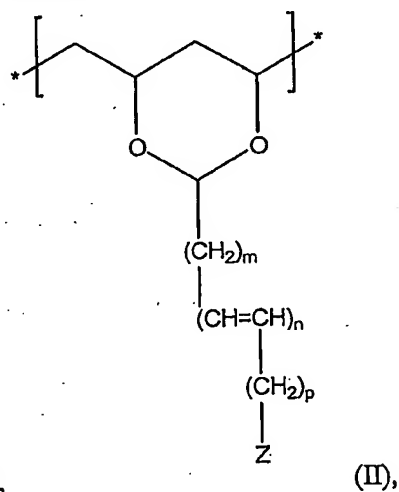
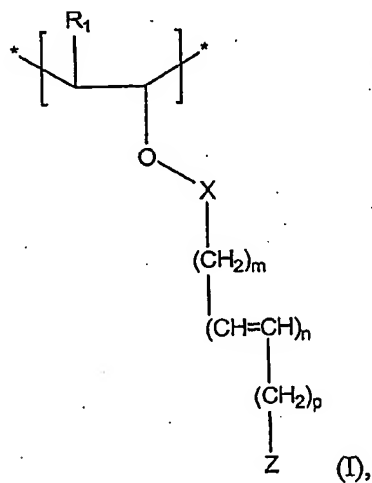
- i) forming or obtaining a first polymer having reactive pendant groups, where the first polymer does not include cyclic anhydride repeat units; and
- ii) derivatizing the first polymer with an antioxidant.

5 In another aspect, the invention relates to a method of preparing an antioxidant polymer that includes:

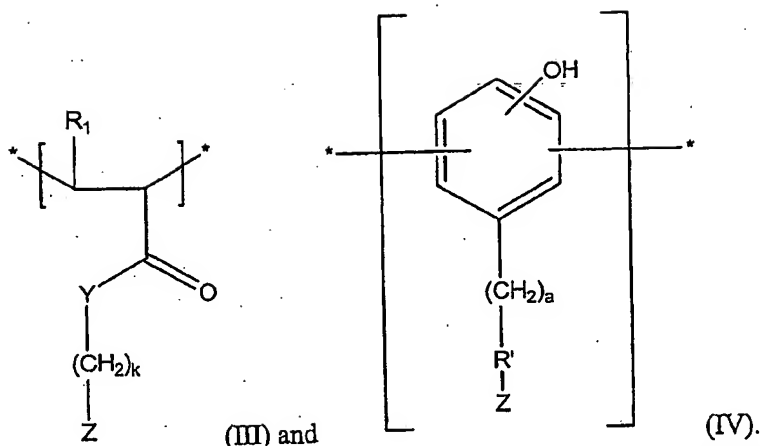
- i) forming or obtaining a first polymer having reactive pendant groups; and
- 10 ii) derivatizing the first polymer with an antioxidant, wherein the antioxidant is attached to the first polymer by an acetal, amide, amine, carbamate, carbonate, ester, ether or thioether linkage or by a carbon-carbon bond.

A further aspect of the invention includes an antioxidant polymer produced by the process that involves derivatizing a homopolymer or a block, star, 15 hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants. The phenolic antioxidants can be attached to the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer by an acetal, amine, carbamate, carbonate, ester, ether or thioether linkage.

20 In one embodiment, the invention relates to a polymer having at least one repeat unit that is represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:



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R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-.

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z. Typically, R₁ is -H or alkyl.

Each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-.

5 Y is -O-, -N- or -S-.

Each Z is an independently selected antioxidant.

a is an integer from 0 to 12.

Each k is independently an integer from 0 to 12.

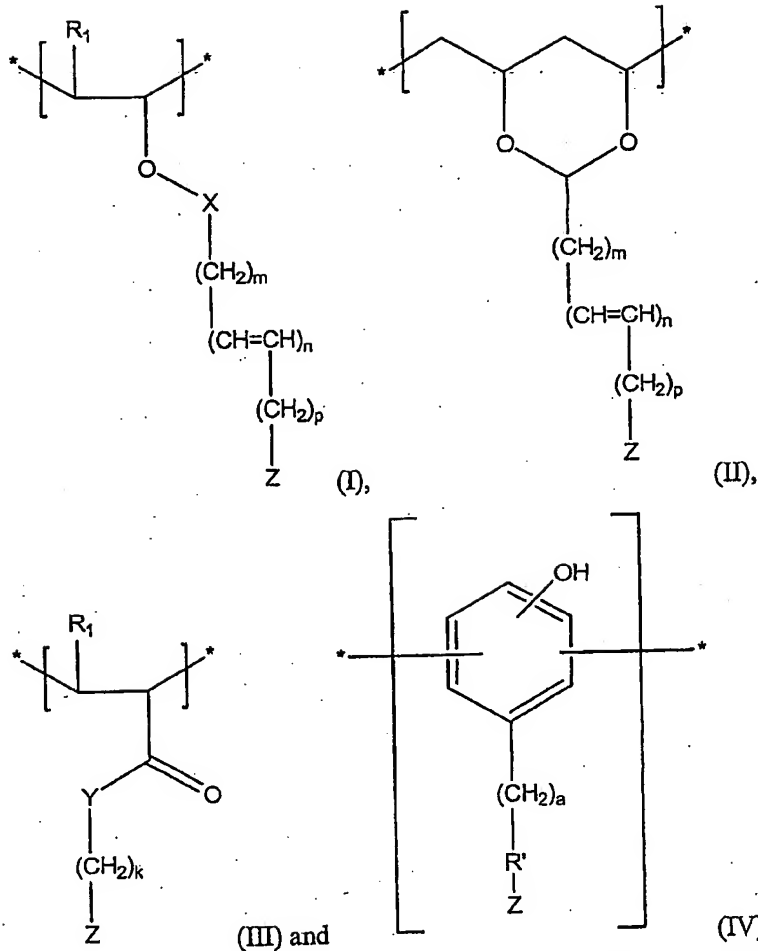
m is an integer from 0 to 6.

10 n is 0 or 1.

p is an integer from 0 to 6.

Another aspect of the present invention relates to a method of inhibiting oxidation of a substance, which includes the step of contacting the substance with an antioxidant polymer produced by the process having the step of derivatizing a homopolymer or a block, star, hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants, where the phenolic antioxidants can be attached to the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer by an acetal, amine, carbamate, carbonate, ester, ether or thioether linkage.

20 In another aspect, the invention includes a method of inhibiting oxidation of a substance, which includes the step of contacting the substance with a polymer that includes at least one repeat unit represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:



R' is a covalent bond, ---O--- , ---C(O)O--- , ---C(O)N--- , ---C(O)--- , ---CH=CH--- , ---S--- or ---N--- .

R₁ is ---H or an alkyl group, or $\text{---(CH}_2\text{)}_k\text{---O---X---Z}$. Typically, R₁ is ---H or alkyl.

Each X is independently a covalent bond, ---C(O)--- , ---C(O)O--- or ---C(O)N--- .

Y is ---O--- , ---N--- or ---S--- .

Each Z is an independently selected antioxidant.

a is an integer from 0 to 12.

Each k is independently an integer from 0 to 12.

m is an integer from 0 to 6.

n is 0 or 1.

p is an integer from 0 to 6.

An additional aspect of the invention includes a method of enhancing the antioxidant activity of an antioxidant molecule that involves:

- i) forming a polymer having reactive pendant groups, wherein the polymer does not include cyclic anhydride repeat units; and
- ii) derivatizing the polymer with the antioxidant molecule.

One advantage of antioxidant polymers of the present invention is that they
5 can be expected to be less toxic or even non-toxic to animals, by virtue of being
largely unabsorbed. Also, these polymers can be generally more potent than small
molecule antioxidants, so that a smaller quantity of antioxidant can typically be
needed to achieve the same protective effect. In addition, the antioxidant polymers
can be blended into another polymeric material or can form a thin film coating on
10 the material, and unlike a small molecule antioxidant, diffusion out of the polymeric
material can typically occur slowly.

BRIEF DESCRIPTION OF THE DRAWING

The figure is a high-resolution NMR spectra of (A) poly(vinyl alcohol), (B)
15 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid and (C) the antioxidant
polymer formed in Example 1.

DETAILED DESCRIPTION OF THE INVENTION

The invention is generally directed to polymers having pendant antioxidant
20 moieties, various compositions containing such polymers, and methods of preparing
and using such polymers.

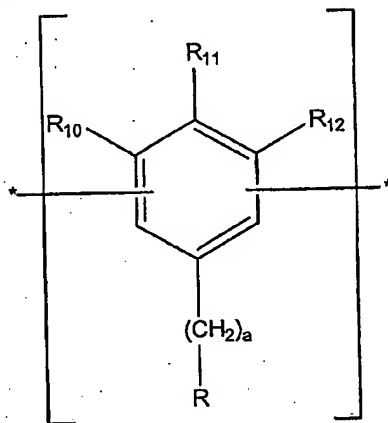
Polymers of the present invention are typically prepared by first preparing or
obtaining a polymer having reactive pendant groups. The polymers can be prepared,
for example, by chemical or enzymatic methods. Reactive pendant groups can be
25 directly coupled to another moiety having a complementary reactive functional
group or can be activated before coupling to another functional group. The polymer
having reactive functional groups can be derivatized with an antioxidant. This
antioxidant reacts with the reactive pendant group so that the antioxidant becomes
attached to the polymer backbone. The antioxidant typically contains a functional
30 group that can be capable of reacting with the pendant functional group of the
polymer or can be activated to react with the pendant functional group.

In one embodiment, the polymer does not include cyclic anhydride repeat units.

An antioxidant can be attached to the polymer by one or more linkages or bonds. Examples of suitable linkages include acetal, amide, amine, carbamate, carbonate, ester, ether and thioether linkage. Carbon-carbon bonds can be also suitable. As used herein, an amide is distinguished from a diacyl hydrazide.

There are many examples of polymers that can be derivatized with an antioxidant. One type of such polymer has pendant hydroxyl groups, such as poly(vinyl alcohol) and copolymers thereof (e.g., poly(ethylene-co-vinyl alcohol)). The hydroxyl groups of poly(vinyl alcohol), a polyhydroxyalkyl methacrylate (e.g., polyhydroxy methyl methacrylate), and poly(ethylene-co-vinyl alcohol) react with an antioxidant to form the derivatized antioxidant polymer. Another type of derivatizable polymer contains pendant carboxylic acid groups or esters thereof, such as poly(acrylic acid), poly(alkylacrylic acid) and esters thereof. Poly(acrylic acid) is a preferred polymer; the carboxylic acid groups of poly(acrylic acid) can be derivatized, although carboxylic acid groups generally require activation before derivatization can occur.

An additional type of derivatizable polymer can be a poly(substituted phenol), where the substituted phenol has a substituent with a nucleophilic or electrophilic moiety. Such poly(substituted phenols) can include repeat units represented by the following structural formulas:



where a is an integer from 0 to 12; R is $-OH$, $-COOH$, $-NH_2$, $-SH$ or a halogen; and R_{10} , R_{11} and R_{12} are each independently $-H$, $-OH$, $-NH_2$ or $-SH$, provided that at

least one of R_{10} , R_{11} and R_{12} is $-OH$, $-NH_2$ or $-SH$. Preferably, one of R_{10} , R_{11} and R_{12} is $-OH$ and the remaining two are optionally $-H$. More preferably, R_{11} is $-OH$ and R_{10} and R_{12} are $-H$.

The derivatizable polymers can be homopolymers or copolymers.

- 5 Copolymers include, for example, block, star, hyperbranched, random, gradient block, and alternate copolymers. The derivatizable polymers can be branched or linear, but are preferably linear.

In copolymers, it is only necessary for one repeat unit to include a pendant reactive group. Second and further repeat units of a copolymer can optionally
10 include a pendant reactive group. For example, about 1% to 100%, such as 10% to 50% or 50% to 100%, of the repeat units of a polymer include pendant functional groups.

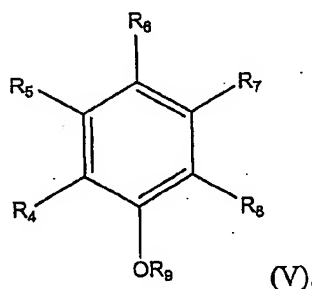
All or a fraction of the pendant reactive groups of a derivatizable polymer can be derivatized with an antioxidant. In one example, about 100% of the pendant
15 reactive groups can be derivatized. In another example, about 5% to about 90%, such as about 20% to about 80% (e.g., about 50% to about 80%) of the pendant reactive groups can be derivatized.

These polymers can be minimally derivatized with a single type of antioxidant, but can be derivatized with two or more antioxidants (e.g., chemically
20 distinct antioxidants). When there can be two or more antioxidants, they can be in the same class, as described below, or can be in different classes. The ratio of antioxidants can be varied in order to obtain a polymer having a desired set of properties. For example, when a polymer can be derivatized with two antioxidants, the ratio of a first antioxidant to a second antioxidant can be from about 20:1 to
25 about 1:20, such as from about 5:1 to about 1:5 (e.g., about 1:1).

Many antioxidants can be suitable for use in the present invention, provided that they can be attached to a polymer and retain their antioxidant activity. One class of suitable antioxidants can be phenolic antioxidants. Phenolic antioxidants typically have one or more bulky alkyl groups (alkyl groups having a secondary or
30 tertiary carbon alpha to the phenol ring) *ortho* or *meta*, preferably *ortho*, to the phenol hydroxyl group. Phenolic antioxidants can alternatively have an alkylenedioxy substituent, an alkoxy carbonyl substituent, a 1-propenyl-3-carboxylic

acid substituent or an ester thereof. A preferred bulky alkyl group is a *tert*-butyl group. The phenol hydroxyl group can be protected by a removable protecting group (e.g., an acyl group). Phenolic antioxidants for use in the present invention also generally have a substituent that can react with the pendant reactive group of one of the polymers described above to form a covalent bond between the antioxidant and the polymer.

One group of suitable phenolic antioxidants can be represented by Structural Formula (V):



R₉ is -H or a substituted or unsubstituted alkyl, acyl or aryl group, preferably -H or an acyl group.

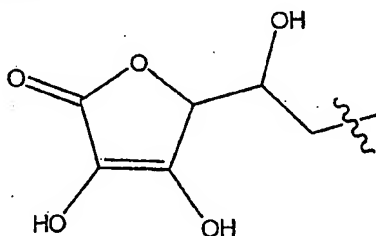
R₄, R₅, R₆, R₇ and R₈ are independently chosen substituent groups, such that at least one substituent can be a substituted or unsubstituted alkyl or aryl group, a substituted or unsubstituted alkoxycarbonyl group, a substituted or unsubstituted alkenedioxy group, a 1-propenyl-3-carboxylic acid group or an ester thereof. Also, at least one of R₄, R₅, R₆, R₇ and R₈ must be a substituent capable of reacting with the pendant reactive group of the polymers described above, such as a substituent having a nucleophilic or electrophilic moiety. Other suitable substituents include, for example, -H, -OH, -NH and -SH. A substituent should not decrease the antioxidant activity more than two-fold; instead, substituents preferably increase the antioxidant activity of the molecule.

Specific examples of phenolic antioxidants that can be attached to a polymer include phenolic antioxidant can be selected from the group consisting of

- 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid,
- 3,5-di-*tert*-butyl-4-hydroxybenzenethiol,
- 2-(3,5-di-*tert*-butyl-4-hydroxyphenyl)acetic acid, 3,5-di-*tert*-butyl-4-hydroxybenzoic

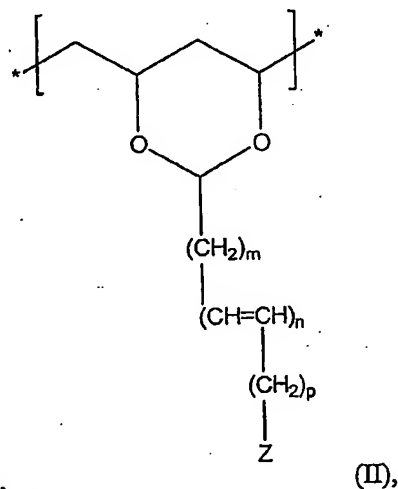
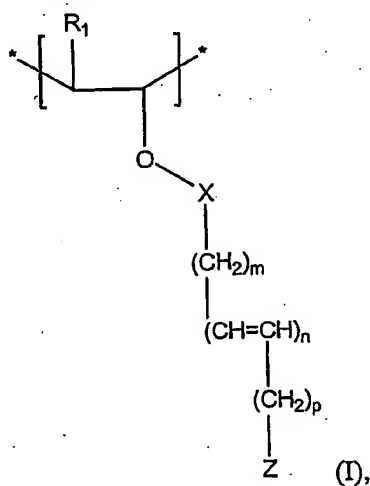
- acid, 3,5-di-*tert*-butyl-4-hydroxycinnamic acid, gallic acid, alkyl gallates,
 3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol, *tert*-butyl-hydroquinone,
 2,5-di-*tert*-butyl-hydroquinone, 2,6-di-*tert*-butyl-hydroquinone,
 3,5-di-*tert*-butyl-4-hydroxybenzaldehyde, monoacetoxy-*tert*-butylhydroquinone,
 5 sesamol, isoflavones, flavanoids and coumarins.

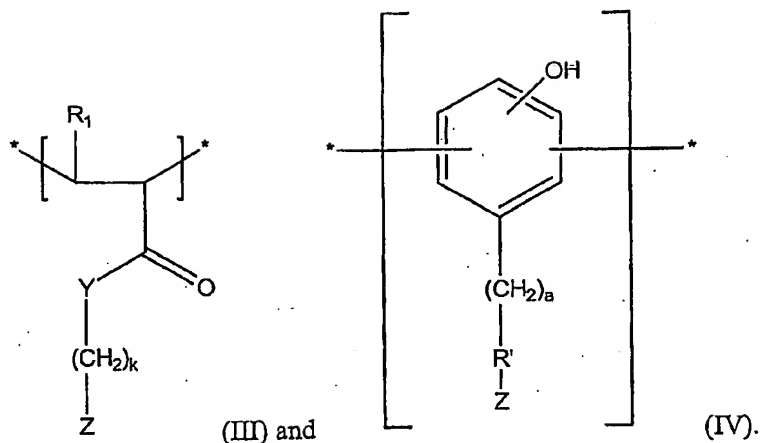
Another antioxidant that can be attached to one of the polymers described above can be ascorbic acid or a molecule that contains an ascorbic acid moiety. Typically, ascorbic acid attached to a polymer has the following configuration:



- 10 where this moiety can be attached to the polymer by an ether or ester linkage.

Examples of repeat units in polymers of the invention are represented by Structural Formulas (I), (II), (III) and (IV):





R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-.

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z. Typically, R₁ is -H or alkyl, preferably -H or C₁-C₄ alkyl group (e.g., methyl).

5 Each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-, preferably a covalent bond or -C(O)-.

Y is -O-, -N- or -S-.

Each Z is an independently selected antioxidant.

a is an integer from 0 to 12.

10 Each k is independently an integer from 0 to 12.

m is an integer from 0 to 6.

n is 0 or 1.

p is an integer from 0 to 6.

15 Polymers of the invention can include repeat units represented by one or more of Structural Formulas (I)-(IV). Typically, polymers of the invention include repeat units represented by one of Structural Formulas (I)-(IV). Such polymers can be homopolymers or copolymers. One type of copolymer includes ethylene repeat units, particularly in a copolymer containing repeat units represented by Structural Formula (I) and/or Structural Formula (II).

20 In one embodiment of the invention, a polymer comprises repeat units represented by Structural Formula (I). In a first group of such polymers, the sum of m and p is typically two or greater. When the sum of m and p is greater than two, Z is typically a phenolic antioxidant, as described above. One preferred phenolic antioxidant is a 3,5-di-*tert*-butyl-4-hydroxyphenyl group, particularly when X is

-C(O)-. For these values of X and Z, m is preferably 2 and n and p are each 0. A second preferred antioxidant is a 3,4,5-trihydroxyphenyl group, particularly when X is -C(O)-. Other preferred antioxidants are mono and di-*tert*-butylated-4-hydroxyphenyl groups, 4-acetoxy-3-*tert*-butylphenyl groups and
 5 3-alkoxycarbonyl-2,6-dihydroxyphenyl groups (e.g., 3-propoxycarbonyl-2,6-dihydroxyphenyl groups), particularly when X is a covalent bond.

In a second set of these polymer having repeat units represented by Structural Formula (I), m and p are each 0. When m and p are 0, n is also typically
 10 0. For these values of m, n and p, Z is typically ascorbic acid. X is typically a covalent bond. Alternatively, Z is a 3,4,5-trihydroxyphenyl group or a 4-acetoxy-3-*tert*-butylphenyl group, particularly when X is -C(O)-.

In another embodiment of the invention, an antioxidant polymer has repeat units represented by Structural Formula (II). For these polymers, m, n and p are
 15 each typically 0. Z is preferably a phenolic antioxidant, specifically a 3,4,5-trihydroxyphenyl, 3,5-di-*tert*-butyl-4-hydroxyphenyl group or a 3,5-di-*tert*-butyl-2-hydroxyphenyl group.

A further embodiment of the invention involves polymers that include repeat units represented by Structural Formula (III). In one group of such polymers, Y is -
 20 O- and Z is preferably ascorbic acid, particularly when k is 0. In another group, Y is -O- and Z is a phenolic antioxidant, particularly when k is 0 to 3; more preferably, k is 1. A preferred phenolic antioxidant is a 3,5-di-*tert*-butyl-4-hydroxyphenyl group. Other examples include of phenolic antioxidants include 4-acetoxy-3-*tert*-butylphenyl, 3-*tert*-butyl-4-hydroxyphenyl,

25 2,6-di-*tert*-butyl-4-mercaptophenyl and 2,6-di-*tert*-butyl-4-hydroxyphenyl groups.

In yet another embodiment of the invention, a polymer includes repeat units represented by Structural Formula (IV). Typically, R' is a covalent bond or -OH in such polymers. Other typical values of R' are amide and ester linkages. Preferred Z groups can be phenolic antioxidants, as described above. For these polymers, the
 30 phenol hydroxyl group is typically para or meta to the group containing Z, more typically para.

The conditions (e.g., temperature, pressure, atmosphere, concentrations, pH, solvents) for derivitizing a polymer having pendant reactive groups with an antioxidant can depend, in part, upon the nature of the functional groups that can be being reacted. The functional groups to be reacted can be selected such that a covalent bond can be formed between the polymer and the antioxidant. There can be a large number of possible combinations of functional groups that can be present as the pendant reactive group and on the antioxidant that can be suitable for connecting the two components. For example, alcohols and amines can be readily attached to an activated carboxylic acid such as an acid chloride or a carboxylic acid reacted with a carbodiimide (e.g., dicyclohexylcarbodiimide). In addition, alkyl halides (excepting fluorides) can be reacted with a wide variety of nucleophiles (e.g., alcohols, amines, thiols, carbanions) to form a covalent bond. The Mitsunobu reaction can be used to form an ether linkage from two hydroxyl groups. An aldehyde can react with hydroxyl groups to form an acetal linkage. Such coupling reactions are well-known in the art.

The conditions for derivatization can also depend, in part, upon the solubility characteristics of the polymer to be derivatized. Although a polymer may not solubilize, a solvent can be chosen such that the pendant reactive groups can be compatible with the solvent. For example, hydroxyl and carboxylic acid groups can be compatible with a polar solvent.

Methods of inhibiting the oxidation of a substance involve contacting the substance with an antioxidant polymer, as described herein.

For purposes of the present invention, a "method of inhibiting oxidation" is defined as a method that inhibits the propagation of a free radical-mediated process. Free radicals can be generated by heat, light, ionizing radiation, metal ions and some proteins and enzymes. Inhibiting oxidation also includes inhibiting reactions caused by the presence of oxygen, ozone or another compound capable of generating these gases or reactive equivalents of these gases.

Antioxidant polymers of the present invention have two or more repeat units, preferably greater than about five repeat units. The molecular weight of the polymers disclosed herein can be generally selected to be appropriate for the desired application. Typically, the molecular weight can be greater than about 500 atomic

mass units (amu) and less than about 2,000,000 amu, greater than about 1000 amu and less than about 1,000,000 amu, greater than about 1000 amu and less than about 100,000 amu, greater than about 2,000 amu and less than about 10,000 amu, or greater than about 2,000 amu and less than about 5,000 amu. For food or edible products (e.g., products fit for human consumption), the molecular weight can be advantageously selected to be large enough so that an antioxidant polymer cannot be absorbed by the gastrointestinal tract, such as greater than 1000 amu. For antioxidant polymers blended with a polymeric material, the molecular weight can be advantageously selected such that the rate of diffusion of the antioxidant polymer through the polymeric material can be slow relative to the expected lifetime of the polymeric material.

Antioxidant polymers of the present invention can be typically insoluble in aqueous media. The solubility of the antioxidant polymers in non-aqueous media (e.g., oils) depends upon the molecular weight of the polymer, such that high molecular weight polymers can be typically sparingly soluble in non-aqueous media. When an antioxidant polymer of the invention can be insoluble in a particular medium or substrate, it can be preferably well-mixed with that medium or substrate.

Antioxidant polymers of the present invention can be present in a wide variety of compositions where free radical mediated oxidation leads to deterioration of the quality of the composition, including edible products such as oils, foods (e.g., meat products, dairy products, cereals, beverages, crackers, potato flakes, bakery products and mixes, dessert mixes, nuts, candies, etc.), and other products containing fats or other compounds subject to oxidation (e.g., chewing gum, flavorings, yeast, etc.).

Antioxidant polymers additionally can protect edible products such as vitamins, e.g., antioxidant vitamins (Vitamin A, Vitamin C, Vitamin E).

Antioxidant polymers can also be present in plastics and other polymers, elastomers (e.g., natural or synthetic rubber), petroleum products (e.g., mineral oil, fossil fuels such as gasoline, kerosene, diesel oil, heating oil, propane, jet fuel), adhesives, lubricants, paints, pigments or other colored items, cosmetic compositions, e.g., soaps and cosmetics (e.g., creams, lotions, hair products). Soaps and cosmetics, in particular, benefit from the addition of a large proportion of one or

more antioxidant polymers of the invention. Soaps and cosmetics can contain, for example, about 1% to about 20% (e.g., about 5% to about 15%) by weight of antioxidant polymer.

5 The antioxidant polymers can be used to coat a metal as a rust and corrosion inhibitor.

Antioxidant polymers additionally can protect pharmaceutical products (i.e., those containing a pharmaceutically active agent) from degradation. The addition of antioxidant polymers can be particularly advantageous when the vitamin or pharmaceutically active agent can be present in a liquid composition, although the
10 antioxidant polymers can be expected also to have a benefit in solid compositions.

In food products, the antioxidant polymers can prevent rancidity. In plastics, the antioxidant polymers can prevent the plastic from becoming brittle and cracking.

Antioxidant polymers of the present invention can be added to oils to prolong their shelf life and properties. These oils can be formulated as vegetable
15 shortening or margarine. Oils generally come from plant sources and include cottonseed oil, linseed oil, olive oil, palm oil, corn oil, peanut oil, soybean oil, castor oil, coconut oil, safflower oil, sunflower oil, canola (rapeseed) oil and sesame oil. These oils contain one or more unsaturated fatty acids such as caproic acid, palmitoleic acid, oleic acid, vaccenic acid, elaidic acid, brassidic acid, erucic acid,
20 nervonic acid, linoleic acid, eleosteric acid, alpha-linolenic acid, gamma-linolenic acid, and arachidonic acid, or partially hydrogenated or trans-hydrogenated variants thereof. Antioxidant polymers of the present invention can be also advantageously added to food or other consumable products containing one or more of these fatty acids.

25 The shelf life of many materials and substances contained within the materials, such as packaging materials, can be enhanced by the presence of an antioxidant polymer of the present invention. The addition of an antioxidant polymer to a packaging material is believed to provide additional protection to the product contained inside the package. In addition, the properties of many packaging
30 materials themselves, particularly polymers, can be enhanced by the presence of an antioxidant regardless of the application (i.e., not limited to use in packaging). Common examples of packaging materials include paper, cardboard and various

plastics and polymers. A packaging material can be coated with an antioxidant polymer (e.g., by spraying the antioxidant polymer or by applying as a thin film coating), blended with or mixed with an antioxidant polymer (particularly for polymers), or otherwise have an antioxidant polymer present within it. In one
5 example, a thermoplastic polymer such as polyethylene, polypropylene or polystyrene can be melted in the presence of an antioxidant polymer in order to minimize its degradation during the polymer processing. An antioxidant polymer can also be co-extruded with a polymeric material.

One example of a packaging material included in the present invention can
10 be commonly referred to as "smart packaging". Smart packaging can be designed such that it controls gas exchange through the packaging. Examples of smart packaging are described in U.S. Patent Nos. 5,911,937, 5,320,889 and 4,977,004, the contents of which are incorporated herein in their entirety. One conventional type of smart packaging involves a layer of an oxygen barrier such as nylon or
15 poly(ethylene-co-vinyl alcohol) that can be typically sandwiched between one or more layers of a moisture-resistant polymer or polymer blend such as polyethylene terephthalate, poly(vinylidene chloride), poly(vinyl chloride), poly(ethylene) or poly(propylene). The layers of moisture-resistant polymer can be either the same or different. In the present invention, one or more of the antioxidant polymers
20 described herein can be added as an additional layer or can be blended with a layer of the packaging material. Alternatively, all or a fraction of the hydroxyl groups of poly(ethylene-co-vinyl alcohol) itself can be derivatized with an antioxidant, such as in a polymer represented by Structural Formula (I) or Structural Formula (II). Such polymers can be expected to serve both as an oxygen barrier and as an
25 antioxidant. It is believed that the advantage of a derivatized poly(ethylene-co-vinyl alcohol) is that the moisture-resistant layers that can be typically used to protect poly(ethylene-co-vinyl alcohol) from adsorbing water molecules (and thereby losing its oxygen resistance) can be eliminated in some embodiments, such that only a single layer of polymer can be required.

30 Polymers of the present invention can generally be added to another antioxidant or polymer e.g., forming combinations of one or more antioxidant polymers described herein and one or more synthetic and/or natural monomeric

and/or oligomeric antioxidants and/or preservatives. Such compositions can be expected to have both short-term and long-term antioxidant activity. The known antioxidants can be typically small molecules (e.g., ascorbic acid, tocopherols, phenolic antioxidants such as BHA, BHT, TBHQ and propyl gallate). The ratio of polymeric antioxidant to small molecule antioxidant can be controlled to give desired properties. For example, the weight ratio of polymeric antioxidant to conventional antioxidant can be from about 1:100 to about 100:1, such as about 1:10 to about 10:1.

The total concentration or amount of a polymer described herein that can be present in a composition depends, in part, upon the antioxidant activity of the polymer and the amount of antioxidant protection required. For example, the concentration of polymer can be as high as 10% by weight of a product (e.g., in cosmetics and packaging materials), but can be typically present at a concentration of about 0.1 ppm to about 10,000 ppm, more typically about 0.1 ppm to about 100 ppm.

An alkyl group is a saturated hydrocarbon in a molecule that is bonded to one other group in the molecule through a single covalent bond from one of its carbon atoms. Examples of lower alkyl groups include methyl, ethyl, *n*-propyl, *iso*-propyl, *n*-butyl, *sec*-butyl and *tert*-butyl. An alkoxy group is a substituted or unsubstituted alkyl group where an oxygen atom connects the alkyl group and one other group. An acyl group is a substituted or unsubstituted alkyl group that contains a terminal carbonyl moiety.

Aryl groups include carbocyclic aryl groups such as phenyl, 1-naphthyl, 2-naphthyl, 1-anthracyl and 2-anthracyl, and heterocyclic aryl groups such as *N*-imidazolyl, 2-imidazole, 2-thienyl, 3-thienyl, 2-furanyl, 3-furanyl, 2-pyridyl, 3-pyridyl, 4-pyridyl, 2-pyrimidyl, 4-pyrimidyl, 2-pyranyl, 3-pyranyl, 3-pyrazolyl, 4-pyrazolyl, 5-pyrazolyl, 2-pyrazinyl, 2-thiazole, 4-thiazole, 5-thiazole, 2-oxazolyl, 4-oxazolyl and 5-oxazolyl.

Aryl groups also include fused polycyclic aromatic ring systems in which a carbocyclic aromatic ring or heteroaryl ring can be fused to one or more other heteroaryl rings. Examples include 2-benzothienyl, 3-benzothienyl, 2-benzofuranyl, 3-benzofuranyl, 2-indolyl, 3-indolyl, 2-quinolinyl, 3-quinolinyl, 2-benzothiazole,

2-benzooxazole, 2-benzimidazole, 2-quinolinyl, 3-quinolinyl, 1-isoquinolinyl, 3-quinolinyl, 1-isindolyl and 3-isindolyl.

Examples of suitable substituents on an alkyl, aryl or acyl group may include, for example, halogen (-Br, -Cl, -I and -F), -OR_a, -CN, -NO₂, -N(R_a)₂,
5 -COOR_a, -CON(R_a)₂, -SO_kR_a (k' is 0, 1 or 2) and -NH-C(=NH)-NH₂. An alkyl group can also have =O or =S as a substituent. Each R_a is independently -H, an alkyl group, a substituted alkyl group, a benzyl group, a substituted benzyl group, an aryl group or a substituted aryl group. A substituted benzylic group or aryl group
10 can also have an alkyl or substituted alkyl group as a substituent. A substituted alkyl group can also have a benzyl, substituted benzyl, aryl or substituted aryl group as a substituent. A substituted alkyl, substituted aryl or substituted acyl group can have more than one substituent.

The following examples are not intended to be limiting in any way.

EXAMPLE 1

3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid was dissolved in dry dichloromethane (DCM) and oxalyl chloride was added to the solution and was stirred for 1 hour, thereby forming an acid chloride.

In a separate flask, poly(vinyl alcohol) (PVA) was dissolved in dry dimethylformamide (DMF) by heating at 60°C for 15 minutes. Small amounts of triethylamine and dimethylaminopyridine (DMAP) were added to this solution. The resulting solution was kept in an ice bath and to it was added the solution of the acid chloride over a period of one hour. The reaction was performed under an inert nitrogen atmosphere. After the reaction appeared to be complete, the solution was stirred in the ice bath for an additional 5 hours. The mixture was stirred for another one or two days at room temperature. The solvents were then removed by rotary evaporation to obtain a solid residue. The solid residue was washed first with a 10% sodium bicarbonate solution, followed by a 0.1 M HCl solution. The residue was then treated with a brine (NaCl) solution. Finally, the residue was washed with a 25% methanol-water mixture. The residue was dried under vacuum for 24 hours. The product residue was analyzed by ¹H and ¹³C NMR spectrometry (The figure).

Spectrum C in the figure shows the absence of a resonance peak (d) due to the -COOH groups present in 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid and shows the presence of resonance peaks due to the aromatic and aliphatic protons of the this antioxidant moiety. Resonance peaks due to the methylene and methine groups in PVA are also present in Spectrum C. This suggests that the antioxidant moiety has been attached to PVA. However, the presence of the resonance peaks for the -OH groups of PVA suggest that not all -OH groups have been derivatized with the antioxidant. The integral ratio of methine proton (b) and the hydroxyl proton (c) in PVA (Spectrum A) is 1:1, as expected, but can be changed to 1 (b'):0.8 (c') in the product polymer (Spectrum C). This suggests that 20% of the hydroxyl groups are derivatized by the method described above.

The antioxidant activity of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid and the derivatized polymer prepared above were measured according to the

procedure published in *Food Chemistry* 73:285-290 (2001). The results are presented in Table 1.

Table 1

- 5 Comparison of Antioxidant Activities of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid and the Derivatized Polymer

Concentration	Compound	Antioxidant Activity	Enhancement Factor
20 ppm	3-(3,5-di- <i>tert</i> -butyl-4-hydroxyphenyl) propionic acid	24.8%	
100 ppm	Derivatized Polymer	36.2%	32%

- 10 The degree of substitution of the antioxidant moiety on PVA is 20%, which means that 100 ppm of the derivatized polymer contains only 20 ppm of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid. The antioxidant activity of 20 ppm of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid was 24.8% and the antioxidant activity of 100 ppm of the derivatized polymer was 36.2%. Thus, the
15 antioxidant attached to the polymer has 32% greater activity than the antioxidant by itself. It can be expected that a polymer having 100% derivatization would have a further increase in antioxidant activity.

EXAMPLE 2

20

Poly(acrylic acid) is reacted with ascorbic acid in the presence of p-toluene sulfonic acid (PTSA) and dicyclohexylcarbodiimide (DCC), thereby attaching the antioxidant to the polymer by an ester linkage.

25

EXAMPLE 3

Poly(acrylic acid) is reacted with 3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol in the presence of DCC and PTSA, thereby attaching the antioxidant to the polymer by an ester linkage.

EXAMPLE 4

Poly(vinyl alcohol) is reacted with 1-*tert*-butyl-4-hydroxyphenol in the presence of diethyl azodicarboxylate, triphenyl phosphine and DMF (e.g., the Mitsunobu reaction), thereby attaching the antioxidant to the polymer by an ether linkage.

EXAMPLE 5

2,5-di-*tert*-butylhydroquinone or propyl gallate is attached to poly(vinyl alcohol) by the procedure of Example 4.

EXAMPLE 6

1-*tert*-butyl-4-hydroxyphenol, 2,5-di-*tert*-butylhydroquinone or propyl gallate is coupled to poly(ethylene-*co*-vinyl alcohol) by the procedure of Example 4.

EXAMPLE 7

Poly(vinyl alcohol) is reacted with 3,5-di-*tert*-butyl-4-hydroxybenzaldehyde, 3,4,5-trihydroxybenzaldehyde or 3,5-di-*tert*-butyl-2-hydroxybenzaldehyde in the presence of PTSA and DCC, thereby attaching the antioxidant to the polymer by an acetal linkage.

EXAMPLE 8

Poly(acrylic acid) is reacted with 3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol and ascorbic acid to form ester linkages, such as by the procedures of Examples 2 and/or 3.

EXAMPLE 9

Poly(vinyl alcohol) is reacted with 2,5-di-*tert*-butylhydroquinone, propyl gallate and *tert*-butylhydroquinone by the Mitsunobu reaction.

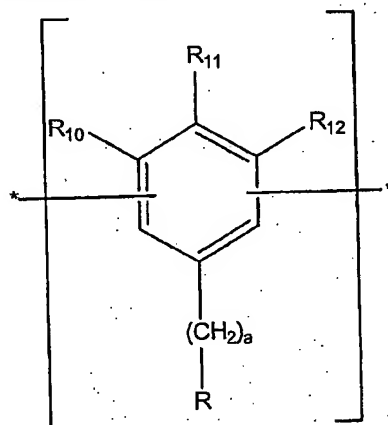
While this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the scope of the invention encompassed by the appended claims.

CLAIMS

What is claimed is:

1. A method of preparing an antioxidant polymer, comprising:
 - 5 i) forming or obtaining a first polymer having reactive pendant groups, wherein the first polymer does not include cyclic anhydride repeat units; and
 - ii) derivatizing the first polymer with an antioxidant.
- 10 2. A method of preparing an antioxidant polymer, comprising:
 - i) forming or obtaining a first polymer having reactive pendant groups; and
 - 15 ii) derivatizing the first polymer with an antioxidant, wherein the antioxidant is attached to the first polymer by an acetal, amide, amine, carbamate, carbonate, ester, ether or thioether linkage or by a carbon-carbon bond.
3. The method of Claim 2, wherein the first polymer contains pendant hydroxyl groups.
- 20 4. The method of Claim 3, wherein the first polymer is poly(vinyl alcohol).
5. The method of Claim 3, wherein the first polymer is poly(ethylene-co-vinyl alcohol).
- 25 6. The method of Claim 3, wherein the first polymer is a polyhydroxyalkyl methacrylate.
7. The method of Claim 2, wherein the first polymer contains pendant
30 carboxylic acid groups or esters thereof.

8. The method of Claim 7, wherein the first polymer is poly(acrylic acid), a poly(alkylacrylic acid) or an ester thereof.
9. The method of Claim 8, wherein the first polymer is poly(acrylic acid).
- 5 10. The method of Claim 2, wherein the first polymer is a poly(substituted phenol), wherein the substituted phenol has a substituent with a nucleophilic or electrophilic moiety.
- 10 11. The method of Claim 10, wherein the first polymer is characterized by a repeat unit having the structural formula:



wherein:

- a is an integer from 0 to 12;
- 15 R is $-\text{OH}$, $-\text{COOH}$, $-\text{NH}_2$, $-\text{SH}$ or a halogen; and
- R_{10} , R_{11} and R_{12} are each independently $-\text{H}$, $-\text{OH}$, $-\text{NH}_2$ or $-\text{SH}$,
provided that at least one of R_{10} , R_{11} and R_{12} is $-\text{OH}$, $-\text{NH}_2$ or $-\text{SH}$.
- 20 12. The method of Claim 2, wherein the first polymer is derivatized with two or more antioxidants.
13. The method of Claim 12, wherein about 5% to about 90% of the reactive pendant groups of the first polymer are derivatized with a first antioxidant

and about 5% to about 90% of the reactive pendant groups of the first polymer are derivatized with a second antioxidant.

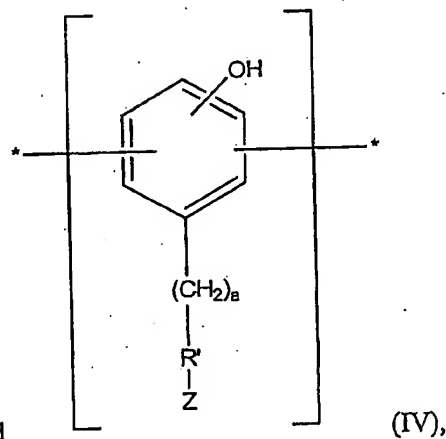
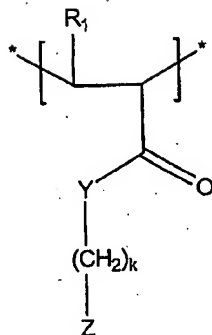
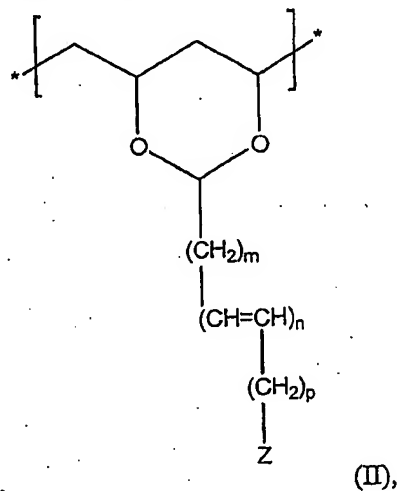
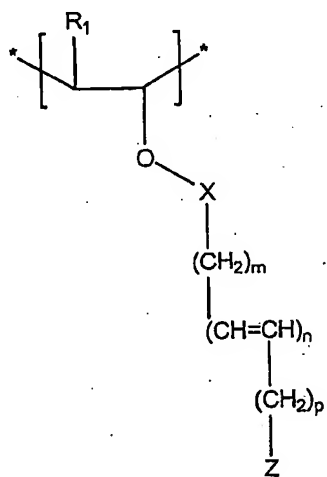
14. The method of Claim 2, wherein about 100% of the reactive pendant groups of the first polymer are derivatized.
15. The method of Claim 2, wherein the antioxidant is a phenolic antioxidant.
16. The method of Claim 15, wherein the phenolic antioxidant includes one or more alkyl groups having an alpha-tertiary carbon *ortho* to a hydroxyl group.
17. The method of Claim 16, wherein the alkyl group is a *tert*-butyl group.
18. The method of Claim 15, wherein the phenolic antioxidant is selected from the group consisting of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid, 3,5-di-*tert*-butyl-4-hydroxybenzenethiol, 2-(3,5-di-*tert*-butyl-4-hydroxyphenyl) acetic acid, 3,5-di-*tert*-butyl-4-hydroxybenzoic acid, 3,5-di-*tert*-butyl-4-hydroxycinnamic acid, gallic acid, alkyl gallates, 3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol, *tert*-butyl-hydroquinone, 2,5-di-*tert*-butyl-hydroquinone, 2,6-di-*tert*-butyl-hydroquinone, 3,5-di-*tert*-butyl-4-hydroxybenzaldehyde, monoacetoxy-*tert*-butylhydroquinone, sesamol, isoflavones, flavanoids and coumarins.
19. The method of Claim 2, wherein the antioxidant is ascorbic acid or contains an ascorbic acid moiety.
20. An antioxidant polymer produced by the process comprising the step of derivatizing a homopolymer or a block, star, hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants, wherein the phenolic antioxidants are attached to the

homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer by an acetal, amine, carbamate, carbonate, ester, ether or thioether linkage.

- 5 21. The antioxidant polymer of Claim 20, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer has pendant hydroxyl groups.
- 10 22. The antioxidant polymer of Claim 21, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer is a homopolymer and wherein the homopolymer is poly(vinyl alcohol).
- 15 23. The antioxidant polymer of Claim 21, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer is a homopolymer and wherein the homopolymer is a polyhydroxyalkyl methacrylate.
- 20 24. The antioxidant polymer of Claim 21, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer is a block, star, hyperbranched, random, gradient block, or alternate copolymer and wherein the block, star, hyperbranched, random, gradient block, or alternate copolymer is poly(ethylene-co-vinyl alcohol).
- 25 25. The antioxidant polymer of Claim 20, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer has pendant carboxylic acid groups or esters thereof.
- 30 26. The antioxidant polymer of Claim 25, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer is a homopolymer and the homopolymer is poly(acrylic acid), a poly(alkylacrylic acid) or an ester thereof.

27. The antioxidant polymer of Claim 26, wherein the homopolymer is poly(acrylic acid).
28. The antioxidant polymer of Claim 20, wherein the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer includes substituted phenol repeat units, wherein the substituted phenol has a substituent with a nucleophilic or electrophilic moiety
29. The antioxidant polymer of Claim 20, wherein the antioxidant polymer includes at least two different antioxidants.
30. The antioxidant polymer of Claim 29, wherein at least two of the antioxidants are chemically distinct phenolic antioxidants.
31. The antioxidant polymer of Claim 20, wherein at least one of the phenolic antioxidants includes one or more alkyl groups having an alpha-tertiary carbon *ortho* to a hydroxyl group.
32. The antioxidant polymer of Claim 31, wherein the tertiary alkyl group is a *tert*-butyl group.
33. The antioxidant polymer of Claim 20, wherein the phenolic antioxidant is selected from the group consisting of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid, 3,5-di-*tert*-butyl-4-hydroxybenzenethiol, 2-(3,5-di-*tert*-butyl-4-hydroxyphenyl) acetic acid, 3,5-di-*tert*-butyl-4-hydroxybenzoic acid, 3,5-di-*tert*-butyl-4-hydroxycinnamic acid, gallic acid, alkyl gallates, 3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol, *tert*-butyl-hydroquinone, 2,5-di-*tert*-butyl-hydroquinone, 2,6-di-*tert*-butyl-hydroquinone, 3,5-di-*tert*-butyl-4-hydroxybenzaldehyde, monoacetoxy-*tert*-butylhydroquinone, sesamol, isoflavones, flavanoids and coumarins.

34. An antioxidant polymer, comprising at least one repeat unit that is represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:



wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-;

R_1 is $-H$ or an alkyl group, or $-(CH_2)_k-O-X-Z$;

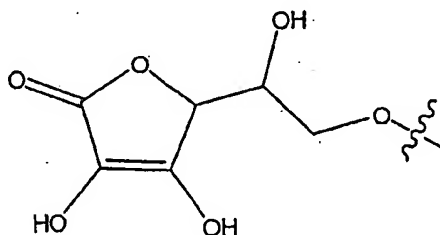
each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;
each k is independently an integer from 0 to 12;
m is an integer from 0 to 6;
n is 0 or 1; and
p is an integer from 0 to 6.

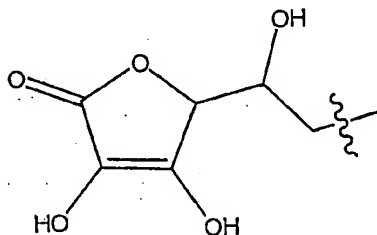
- 5
35. The antioxidant polymer of Claim 34, wherein X is a covalent bond or –C(O)–.
- 10 36. The antioxidant polymer of Claim 35, wherein the polymer includes repeat units represented by Structural Formula (I).
37. The antioxidant polymer of Claim 36, wherein the sum of m and p is two or greater.
- 15 38. The antioxidant polymer of Claim 36, wherein Z is represented by the structural formula:



- 20 39. The antioxidant polymer of Claim 38, wherein X is a covalent bond and m, n and p are each 0.
40. The antioxidant polymer of Claim 36, wherein Z is a phenolic antioxidant.
- 25 41. The antioxidant polymer of Claim 40, wherein the phenolic antioxidant includes one or more alkyl groups having an alpha-tertiary carbon *ortho* to a hydroxyl group.

42. The antioxidant polymer of Claim 41, wherein the alkyl group is a *tert*-butyl group.
43. The antioxidant polymer of Claim 42, wherein Z is a
5 3,5-di-*tert*-butyl-4-hydroxyphenyl group.
44. The antioxidant polymer of Claim 43, wherein X is $-C(O)-$.
45. The antioxidant polymer of Claim 44, wherein m is 2 and n and p are each 0.
10
46. The antioxidant polymer of Claim 40, wherein Z is a 3,4,5-trihydroxyphenyl group.
47. The antioxidant polymer of Claim 46, wherein X is $-C(O)-$ and m, n and p
15 are each 0.
48. The antioxidant polymer of Claim 47, wherein X is a covalent bond and Z is a mono- or di-*tert*-butylated-4-hydroxyphenyl group, a 4-acetoxy-3-*tert*-butylphenyl group or a
20 3-alkoxycarbonyl-2,6-dihydroxyphenyl group.
49. The antioxidant polymer of Claim 48, wherein Z is a 3-propoxycarbonyl-2,6-dihydroxyphenyl group.
- 25 50. The antioxidant polymer of Claim 34, wherein the polymer comprises repeat units represented by Structural Formula (II).
51. The antioxidant polymer of Claim 50, wherein m, n and p are each 0.
- 30 52. The antioxidant polymer of Claim 51, wherein Z is a phenolic antioxidant.

53. The antioxidant polymer of Claim 52, wherein Z is a 3,4,5-trihydroxyphenyl group.
54. The antioxidant polymer of Claim 52, wherein the phenolic antioxidant includes one or more alkyl groups having an alpha-tertiary carbon *ortho* to a hydroxyl group.
55. The antioxidant polymer of Claim 54, wherein the alkyl group is a *tert*-butyl group.
56. The antioxidant polymer of Claim 55, wherein Z is a 3,5-di-*tert*-butyl-4-hydroxyphenyl group or a 3,5-di-*tert*-butyl-2-hydroxyphenyl group.
57. The antioxidant polymer of Claim 34, wherein the polymer comprises repeat units represented by Structural Formula (III).
58. The antioxidant polymer of Claim 57, wherein Y is -O-.
59. The antioxidant polymer of Claim 58, wherein Z is represented by the structural formula:

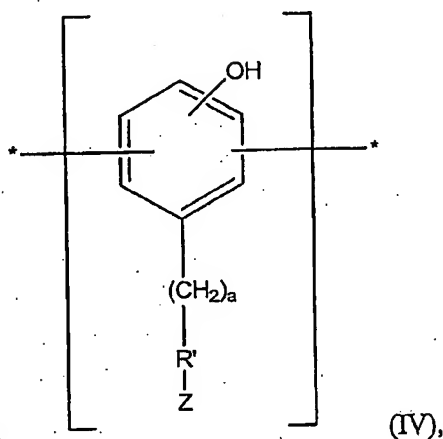
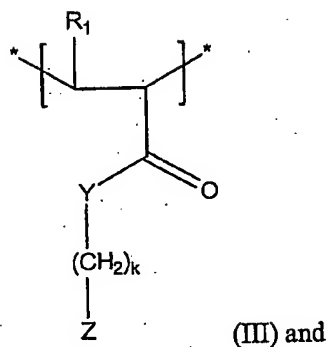
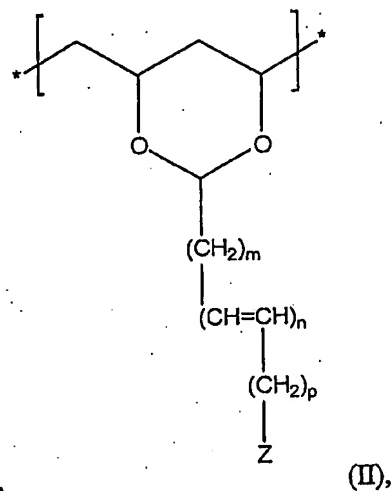
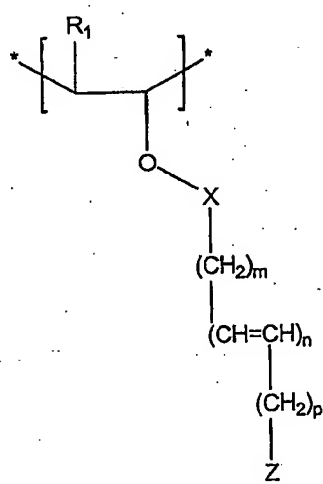


60. The antioxidant polymer of Claim 59, wherein k is 0.
61. The antioxidant polymer of Claim 58, wherein Z is a phenolic antioxidant.
62. The antioxidant polymer of Claim 61, wherein k is 0 to 3.

63. The antioxidant polymer of Claim 62, wherein *k* is 1.
64. The antioxidant polymer of Claim 62, wherein *Z* is a
5 4-acetoxy-3-*tert*-butylphenyl group, a 3-*tert*-butyl-4-hydroxyphenyl group, a
2,6-di-*tert*-butyl-4-mercaptophenyl group, a
2,6-di-*tert*-butyl-4-hydroxyphenyl group or a 3,4-methylenedioxyphenyl
group.
- 10 65. The antioxidant polymer of Claim 62, wherein the phenolic antioxidant
includes one or more alkyl groups having an alpha-tertiary carbon *ortho* to a
hydroxyl group.
66. The antioxidant polymer of Claim 65, wherein the alkyl group is a *tert*-butyl
15 group.
67. The antioxidant polymer of Claim 66, wherein *Z* is a
3,5-di-*tert*-butyl-4-hydroxyphenyl group.
- 20 68. The antioxidant polymer of Claim 34, wherein the polymer comprises repeat
units represented by Structural Formula (IV).
69. The antioxidant polymer of Claim 68, wherein *R'* is a covalent bond or -OH.
- 25 70. The antioxidant polymer of Claim 69, wherein the *Z* is a phenolic
antioxidant.
71. The antioxidant polymer of Claim 70, wherein *Z* is selected from the group
consisting of 3-(3,5-di-*tert*-butyl-4-hydroxyphenyl) propionic acid,
30 3,5-di-*tert*-butyl-4-hydroxybenzenethiol,
2-(3,5-di-*tert*-butyl-4-hydroxyphenyl) acetic acid,
3,5-di-*tert*-butyl-4-hydroxybenzoic acid,

- 3,5-di-*tert*-butyl-4-hydroxycinnamic acid, gallic acid, alkyl gallates,
3,5-di-*tert*-butyl-4-hydroxybenzyl alcohol, *tert*-butyl-hydroquinone,
2,5-di-*tert*-butyl-hydroquinone, 2,6-di-*tert*-butyl-hydroquinone,
3,5-di-*tert*-butyl-4-hydroxybenzaldehyde,
5 monoacetoxy-*tert*-butylhydroquinone, sesamol, isoflavones, flavanoids and
coumarins.
72. The antioxidant polymer of Claim 34, wherein the polymer is a
homopolymer.
- 10 73. The antioxidant polymer of Claim 34, wherein the antioxidant polymer is a
copolymer.
74. The copolymer of Claim 73, wherein the copolymer includes two or more
15 different antioxidants.
75. The copolymer of Claim 73, wherein the copolymer further comprises
ethylene repeat units.
- 20 76. A method of inhibiting oxidation of a substance, comprising the step of
contacting the substance with an antioxidant polymer produced by the
process comprising the step of derivatizing a homopolymer or a block, star,
hyperbranched, random, gradient block, or alternate copolymer with one or
25 more phenolic antioxidants, wherein the phenolic antioxidants are attached to
the homopolymer or the block, star, hyperbranched, random, gradient block,
or alternate copolymer by an acetal, amine, carbamate, carbonate, ester, ether
or thioether linkage.
77. The method of Claim 76, further comprising inhibiting corrosion of a metal.
- 30 78. A method of inhibiting oxidation of a substance, comprising the step of
contacting the substance with a polymer that includes at least one repeat unit

represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:



5

wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-;

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z;

each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

10

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;
n is 0 or 1; and
p is an integer from 0 to 6.

5 79. The method of Claim 78, further comprising inhibiting corrosion of metal.

80. The method of Claim 78, wherein the polymer includes at least one repeat unit represented by Structural Formula (I) and wherein:

10 X is $-\text{C}(\text{O})-$;
 Z is 3,5-di-*tert*-butyl-4-hydroxyphenyl;
 m is 2; and
 n and p are each 0.

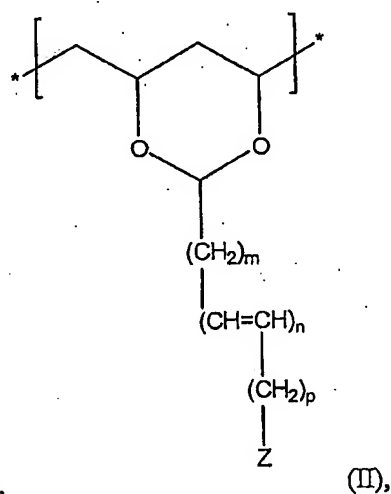
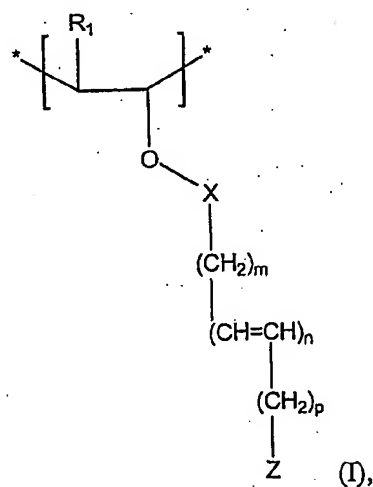
15 81. A method of enhancing the antioxidant activity of an antioxidant molecule, comprising:

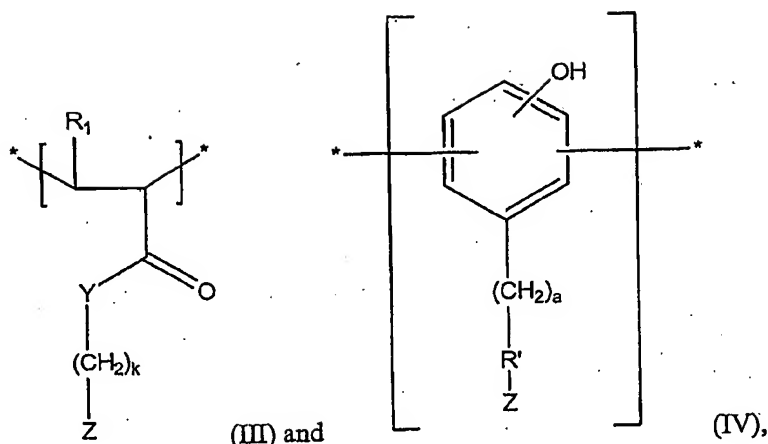
- i) forming a polymer having reactive pendant groups, wherein the polymer does not include cyclic anhydride repeat units; and
- ii) derivatizing the polymer with the antioxidant molecule.

20 82. A composition, comprising:

 an antioxidant polymer, wherein the antioxidant polymer is produced by a process comprising the step of derivatizing a homopolymer or a block, star, hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants, wherein the phenolic antioxidants are attached to the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate copolymer by an acetal, amine, carbamate, carbonate, ester, ether or thioether linkage; and
30 an edible product.

83. The edible composition of Claim 82, wherein the edible product is an oil or contains an oil, wherein the oil is selected from the group consisting of cottonseed oil, linseed oil, olive oil, palm oil, corn oil, peanut oil, soybean oil, castor oil, coconut oil, safflower oil, sunflower oil, canola oil and sesame oil.
84. The edible composition of Claim 82, wherein the edible product is selected from the group consisting of cereals, beverages, chewing gum, crackers, potato flakes, bakery products and mixes, dessert mixes, meat products, flavorings, nuts, yeast and candies.
85. The edible composition of Claim 82, wherein the edible product is an antioxidant vitamin.
86. The edible composition of Claim 82, wherein the antioxidant polymer includes at least one repeat unit represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:





wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-;

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z;

each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

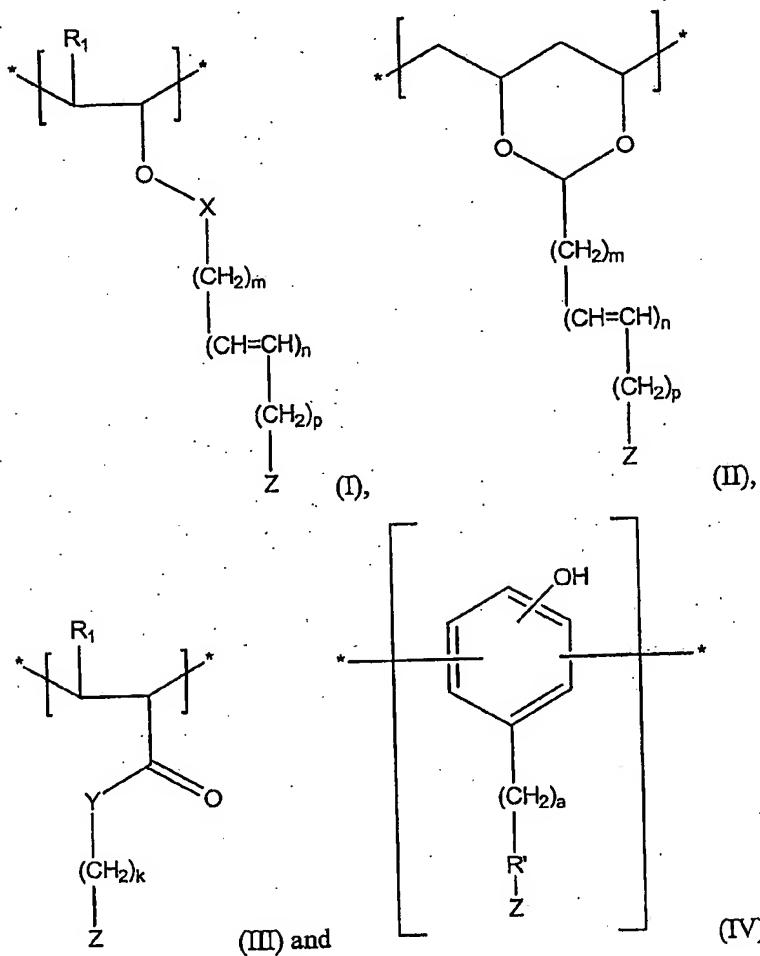
p is an integer from 0 to 6.

87. A packaging composition, comprising:

an antioxidant polymer, wherein the antioxidant polymer is produced by a process comprising the step of derivatizing a homopolymer or a block, star, hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants, wherein the phenolic antioxidants are attached to the homopolymer or the block, star, hyperbranched, random, gradient block, or alternate

copolymer by an acetal, amine, carbamate, carbonate, ester, ether or thioether linkage; and
a packaging material.

- 5 88. The packaging composition of Claim 87, wherein the antioxidant polymer includes at least one repeat unit represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:



10

wherein:

R' is a covalent bond, ---O--- , ---C(O)O--- , ---C(O)N--- , ---C(O)--- , ---CH=CH--- , ---S--- or ---N--- ;

R_1 is ---H or an alkyl group, or $\text{---(CH}_2\text{)}_k\text{---O---X---Z}$;

each X is independently a covalent bond, -C(O)-, -C(O)O- or
-C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

5 a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

p is an integer from 0 to 6.

10

89. The packaging composition of Claim 87, wherein the packaging material includes material selected from the group consisting of polymers, paper, cardboard and combinations thereof.

15

90. The packaging composition of Claim 87, wherein the antioxidant polymer is blended with or mixed with the packaging material.

91. The packaging composition of Claim 87, wherein the packaging material includes one or more polymers that are co-extruded with the antioxidant
20 polymer.

20

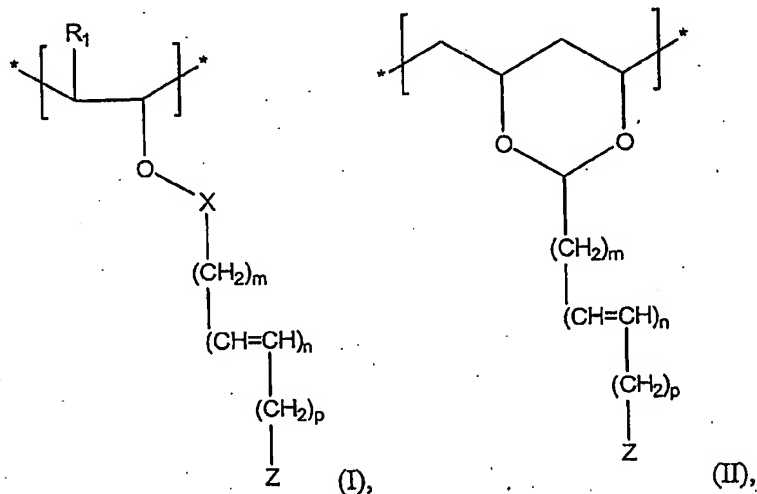
92. The packaging composition of Claim 91 wherein the packaging material includes a layer of an oxygen-resistant polymer or a mixture of oxygen-resistant polymers between layers of moisture-resistant polymer or a
25 mixture of moisture-resistant polymers, wherein the layers of moisture-resistant polymer are the same or different.

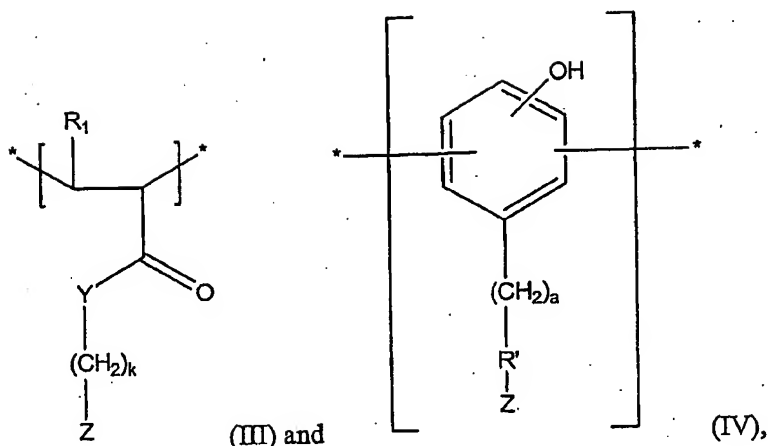
25

93. The packaging composition of Claim 92, wherein the oxygen-resistant polymer or mixture of oxygen-resistant polymers includes an ethylene-vinyl
30 alcohol copolymer.

30

94. The packaging composition of Claim 93, wherein the moisture-resistant polymer or mixture of moisture-resistant polymers includes polyethylene or polypropylene.
- 5 95. A cosmetic composition, comprising:
 an antioxidant polymer, wherein the antioxidant polymer is produced
 by a process comprising the step of derivatizing a
 homopolymer or a block, star, hyperbranched, random,
 gradient block, or alternate copolymer with one or more
 phenolic antioxidants, wherein the phenolic antioxidants are
 10 attached to the homopolymer or the block, star,
 hyperbranched, random, gradient block, or alternate
 copolymer by an acetal, amine, carbamate, carbonate, ester,
 ether or thioether linkage; and
 15 a soap or cosmetic agent.
96. The cosmetic composition of Claim 95, wherein the antioxidant polymer includes at least one repeat unit represented by a structure selected from the group consisting of Structural Formulas (I), (II), (III), (IV) and combinations thereof:
- 20





wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-;

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z;

each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

p is an integer from 0 to 6.

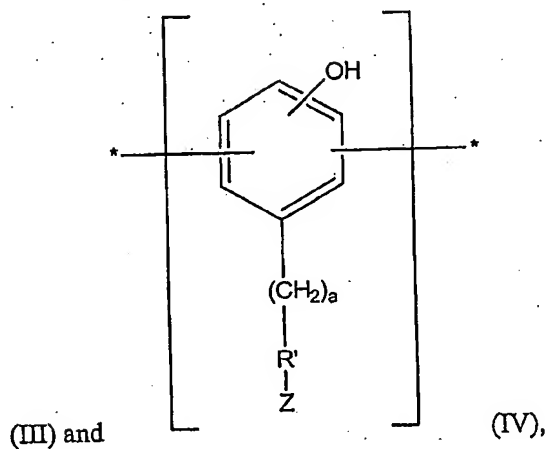
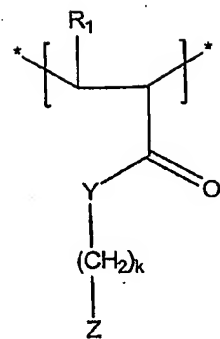
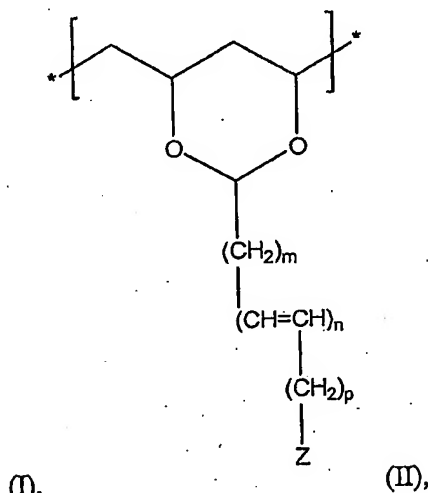
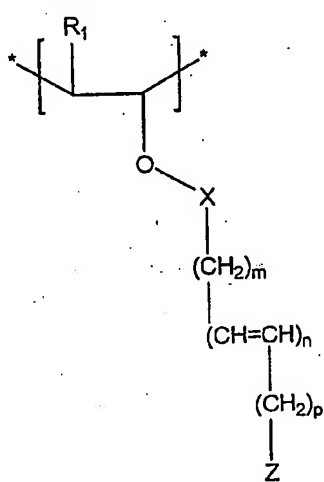
97. The cosmetic composition of Claim 95, wherein the composition comprises about 1% to about 20% by weight of the antioxidant polymer.

98. A pharmaceutical composition, comprising:

an antioxidant polymer, wherein the antioxidant polymer is produced by a process comprising the step of derivatizing a homopolymer or a block, star, hyperbranched, random, gradient block, or alternate copolymer with one or more phenolic antioxidants, wherein the phenolic antioxidants are

attached to the homopolymer or the block, star,
hyperbranched, random, gradient block, or alternate
copolymer by an acetal, amine, carbamate, carbonate, ester,
ether or thioether linkage; and
a pharmaceutically active agent.

99. The pharmaceutical composition of Claim 98, wherein the antioxidant
polymer includes at least one repeat unit represented by a structure selected
from the group consisting of Structural Formulas (I), (II), (III), (IV) and
combinations thereof:



wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S-
or -N-;

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z;

each X is independently a covalent bond, -C(O)-, -C(O)O- or
-C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

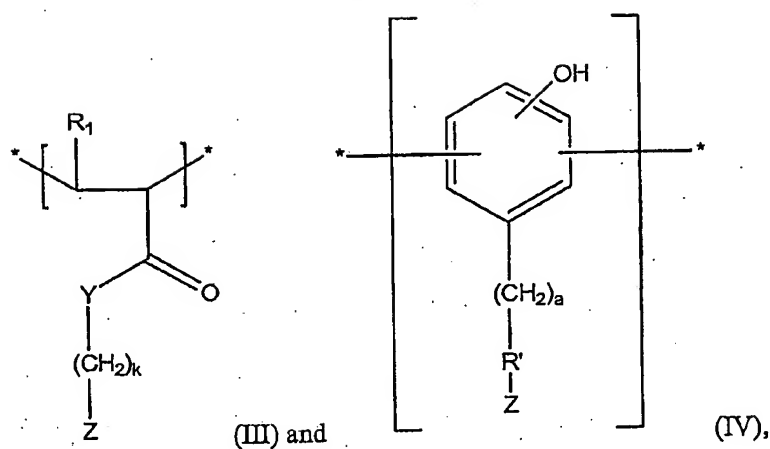
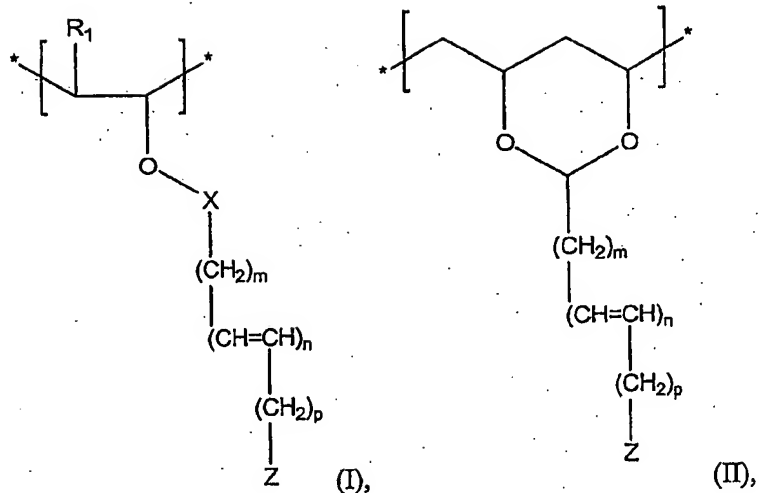
each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

p is an integer from 0 to 6.

100. The pharmaceutical composition of Claim 98, wherein the pharmaceutical
composition is a liquid.
101. A composition comprising:
an antioxidant polymer, wherein the antioxidant polymer is produced by a
process comprising the step of derivatizing a homopolymer or a
block, star, hyperbranched, random, gradient block, or alternate
copolymer with one or more phenolic antioxidants, wherein the
phenolic antioxidants are attached to the homopolymer or the block,
star, hyperbranched, random, gradient block, or alternate copolymer
by an acetal, amine, carbamate, carbonate, ester, ether or thioether
linkage; and
one or more petroleum products, one or more lubricants, one or more paints,
or one or more elastomers.
102. The composition of Claim 101, wherein the antioxidant polymer includes at
least one repeat unit represented by a structure selected from the group
consisting of Structural Formulas (I), (II), (III), (IV) and combinations
thereof:



wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S-
or -N-;

R_1 is $-H$ or an alkyl group, or $-(CH_2)_k-O-X-Z$.

each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

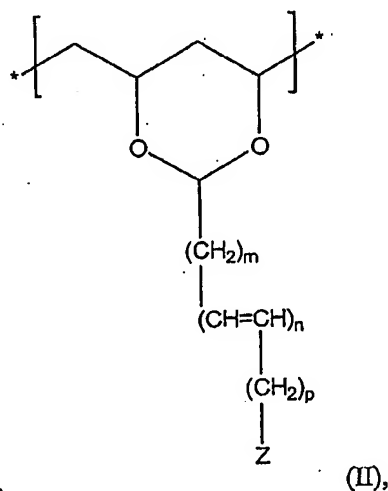
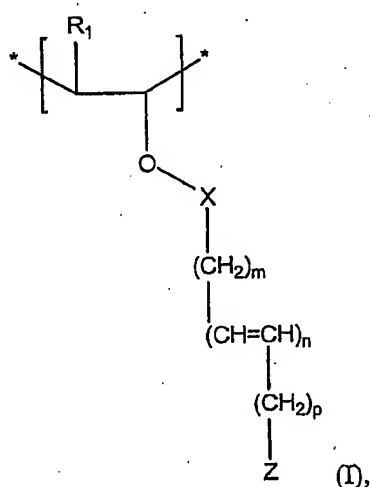
p is an integer from 0 to 6.

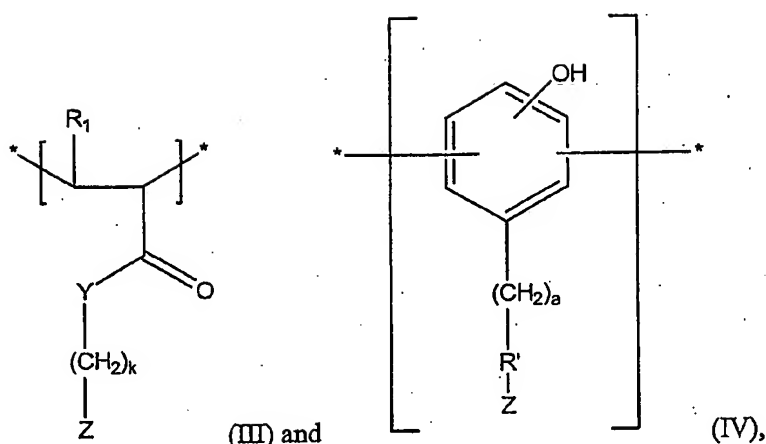
103. A composition comprising:

an antioxidant polymer, wherein the antioxidant polymer is produced
by a process comprising the step of derivatizing a
homopolymer or a block, star, hyperbranched, random,
gradient block, or alternate copolymer with one or more
phenolic antioxidants, wherein the phenolic antioxidants are
attached to the homopolymer or the block, star,
hyperbranched, random, gradient block, or alternate
copolymer by an acetal, amine, carbamate, carbonate, ester,
ether or thioether linkage; and

a member selected from the group consisting of synthetic or natural
monomeric and oligomeric antioxidants, preservatives and
combinations thereof.

104. The composition of Claim 103, wherein the antioxidant polymer includes at
least one repeat unit represented by a structure selected from the group
consisting of Structural Formulas (I), (II), (III), (IV) and combinations
thereof:





wherein:

R' is a covalent bond, -O-, -C(O)O-, -C(O)N-, -C(O)-, -CH=CH-, -S- or -N-;

R₁ is -H or an alkyl group, or -(CH₂)_k-O-X-Z;

each X is independently a covalent bond, -C(O)-, -C(O)O- or -C(O)N-;

Y is -O-, -N- or -S-;

each Z is an independently selected antioxidant;

a is an integer from 0 to 12;

each k is independently an integer from 0 to 12;

m is an integer from 0 to 6;

n is 0 or 1; and

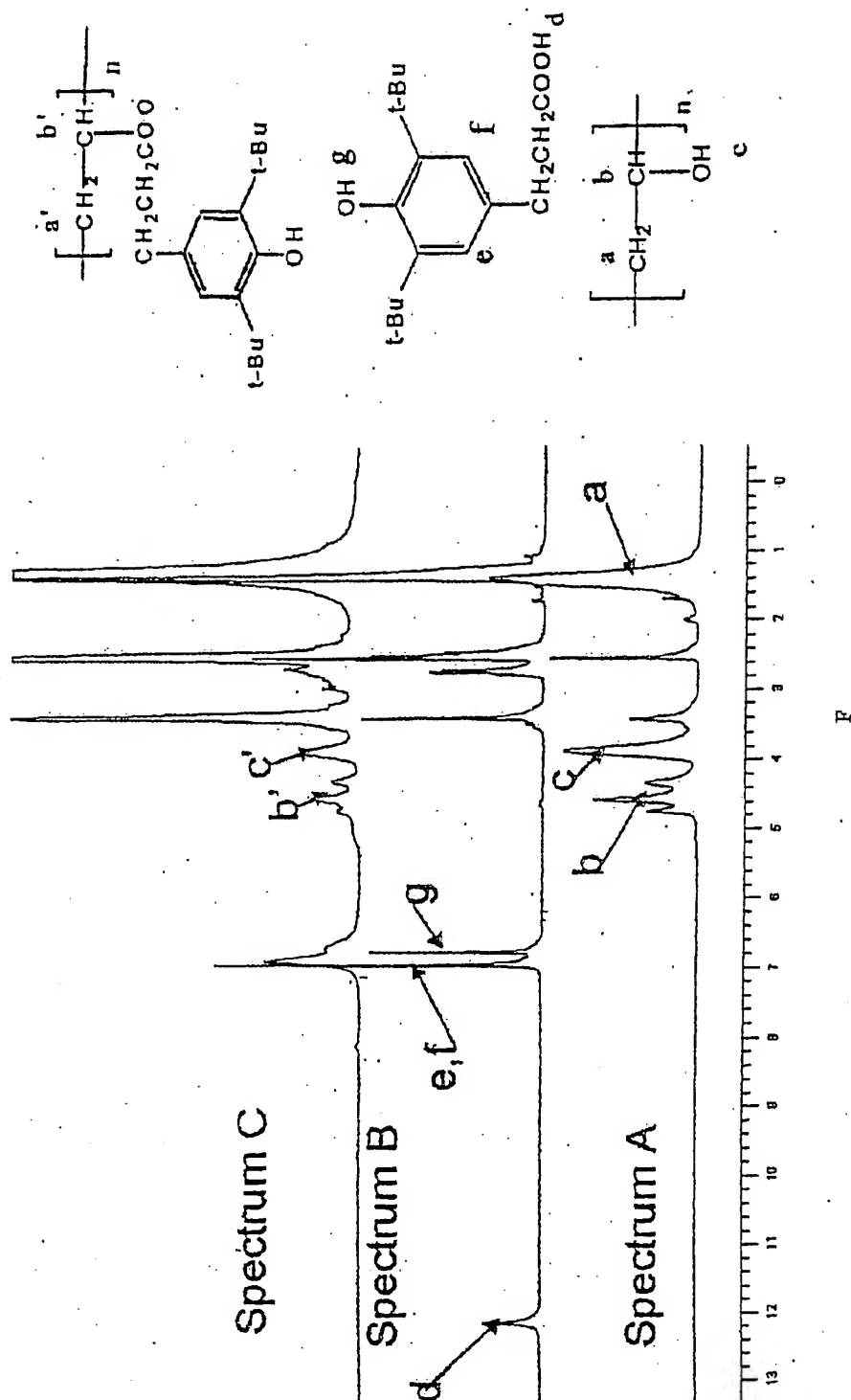
p is an integer from 0 to 6.

105. The method of any one of Claims 1, 2, 76, 78, or 81, wherein the molecular weight of the antioxidant polymer is greater than about 1000 atomic mass units and less than about 1,000,000 atomic mass units.

106. The antioxidant polymer of Claims 20 or 34, wherein the molecular weight of the antioxidant polymer is greater than about 1000 atomic mass units and less than about 1,000,000 atomic mass units.

107. The composition of any one of Claims 82, 87, 95, 98, 101, or 103, wherein the molecular weight of the antioxidant polymer is greater than about 1000 atomic mass units and less than about 1,000,000 atomic mass units.

1/1



(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
4 August 2005 (04.08.2005)

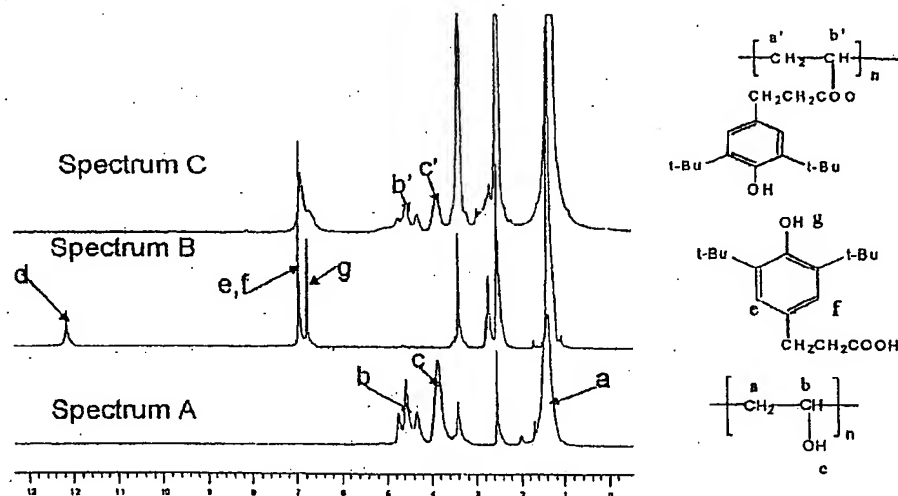
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- (30) Priority Data: 60/537,983 21 January 2004 (21.01.2004) US
- (71) Applicant (for all designated States except US): UNIVERSITY OF MASSACHUSETTS LOWELL [US/US]; 600 Suffolk Street, 2nd Floor South, Lowell, MA 01854 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CHOLLI, Ashok, L. [US/US]; 6 Rosemary Lane, Chelmsford, MA 01824 (US). DHAWAN, Ashish [IN/US]; 142 Riverside Street, No 3, Lowell, MA 01854 (US). KUMAR, Vijayendra [IN/US]; 142 Riverside Street, No. 3, Lowell, MA 01854 (US).
- (74) Agents: PIERCE, N., Scott et al.; Hamilton, Brook, Smith & Reynolds, RC, 530 Virginia Road, RO. Box 9133, Concord, MA 01742-9133 (US).
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- Published: with international search report
- (88) Date of publication of the international search report: 19 October 2006

[Continued on next page]

(54) Title: POST-COUPLING SYNTHETIC APPROACH FOR POLYMERIC ANTIOXIDANTS



(57) Abstract: A method of preparing an antioxidant polymer includes forming or obtaining a first polymer having reactive pendant groups, where the first polymer does not include cyclic anhydride repeat units, and derivatizing the first polymer with an antioxidant. Another method of preparing an antioxidant polymer includes forming or obtaining a first polymer having reactive pendant groups and derivatizing the first polymer with an antioxidant, where the antioxidant is attached to the first polymer by an acetal, amide, amine, carbamate, carbonate, ester, ether or thioether linkage or by a carbon-carbon bond. The invention is also directed to polymers that are generally prepared by these methods, compositions that include such polymers and methods of using such polymers.



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2005/001948

A CLASSIFICATION OF SUBJECT MATTER

C08F8/00 C08G85/00 C09K15/04 C23F11/173 A23L3/3463
B65D81/24 B32B27/06 B32B27/18 A61K7/00 A61K31/74
C10M145/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C08F C09K C23F C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and where practical search terms used)

EPO-Internal, CHEM ABS Data, WPI Data, PAJ

C DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document with indication where appropriate of the relevant passages	Relevant to claim No
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X	<p>EP 1 067 144 A (DAI NICHII SEI KA COLOR) 10 January 2001 (2001-01-10)</p> <p>synthesis examples 1-6 claims; examples 1-6,9,12; tables 1-3,5 page 2, lines 15-49 page 3, line 6 - page 4, line 2 page 4, line 25 - page 5, line 21 ----- V--</p>	<p>1-9, 12-27, 29-67, 72-81, 105,106</p>
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☒ Further documents are listed in the continuation of box C☒ Patent family members are listed in annex

* Special categories of cited documents

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure use exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art

& document member of the same patent family

Date of the actual completion of the international search

21 October 2005

Date of mailing of the international search report

30 01 2006

Name and mailing address of the ISA

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Authorized officer

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INTERNATIONAL SEARCH REPORT

International application No
PCT/US20C5/001948

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons

- 1 ☐ Claims Nos
because they relate to subject matter not required to be searched by this Authority namely
- 2 ☐ Claims Nos
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out specifically
- 3 **D** Claims Nos
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 54(a)

Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application as follows

see additional sheet

- 1 ☐ As all required additional search fees were timely paid by the applicant this International Search Report covers all searchable claims
- 2 ☐ As all searchable claims could be searched without effort justifying an additional fee this Authority did not invite payment of any additional fee
- 3 ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos
- 4 ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims, it is covered by claims Nos.
see annex

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-3(part) , 4-6, 7(part) , 8, 9, 12-21(part) , 22-24, 25(part) , 26, 27, 29-34(part) , 35-67, 72-79(part) , 80, 81(part) , 105-106(part)

A method of preparing an antioxidant polymer as defined in claims 1-9, 12-19 and 105,
 an antioxidant polymer as defined in claims 20-27, 29-33, 34-67 and 72-75 and 106,
 a method of inhibiting oxidation of a substance as defined in claims 76-80 and 105,
 a method of enhancing the antioxidant activity of an antioxidant molecule as defined in claims 81 and 105,
 with the following proviso:
 the polymer which is derivatized with an antioxidant is not a poly(substituted phenol) as defined in claim 10 and does not comprise at least one repeat unit as defined in claim 28 or as represented by Structural Formula (IV) in the claims 34 and 78.

2. claims: 1-3(part) , 7(part) , 10-11, 12-21(part) , 25(part) , 28, 29-34(part) , 68-71, 72-79(part) , 81(part) , 105-106(part)

A method of preparing an antioxidant polymer as defined in claims 1-3, 7, 10-19 and 105,
 an antioxidant polymer as defined in claims 20, 21, 25, 28 to 34, 68-75 and 106,
 a method of inhibiting oxidation of a substance as defined in claims 76-79 and 105,
 a method of enhancing the antioxidant activity of an antioxidant molecule as defined in claims 81 and 105,
 with the following proviso:
 the polymer which is derivatized with an antioxidant is a poly(substituted phenol) as defined in claim 10 and/or comprises at least one repeat unit as defined in claim 28 or as represented by Structural Formula (IV) in the claims 34 and 78.

3. claims: 82-86, 107(part)

A composition, as defined in independent claim 82, comprising an antioxidant polymer and an edible product.

4. claims: 87-94, 107(part)

A packaging composition, as defined in independent claim 87, comprising an antioxidant polymer and a packaging material.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

5. claims : 95-97, 107(part)

A cosmetic composition, as defined in independent claim 95, comprising an anti oxidant polymer and a soap or cosmetic agent.

6. claims: 98-100, 107 (part)

A pharmaceutical composition, as defined in independent claim 98, comprising an antioxidant polymer and a pharmaceutically active agent.

7. claims: 101-102, 107(part)

A composition, as defined in independent claim 101, comprising an antioxidant polymer and one or more petroleum products, one or more lubricants, one or more paints, or one or more elastomers.

8. claims: 103-104, 107(part)

A composition, as defined in independent claim 103, comprising an antioxidant polymer and a member selected from the group consisting of synthetic or natural monomeric and oligomeric antioxidants, preservatives and combinations thereof.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2005/001948

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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May 1, 2007

Via Federal Express

Theresa Devlin, Ph.D., Esq.
Synta Pharmaceuticals Corp.
45 Hartwell Avenue
Lexington, MA 02421

RE: HBSR Docket No.: 3211.0011-000
Confidential Legal Opinion With Respect To U.S. Patent No. 6,492,406

Dear Terri:

Enclosed is a draft copy of our Opinion for the above-referenced matter, along with the relevant appendices. This draft Opinion is being provided for your comments on technical aspects only.

We have based our draft Opinion on information provided by your company. It is critical that you notify us if any of the information set forth in the opinion is inaccurate. You should consider whether there have been any changes in the HSP90 inhibitor since the information was provided to us.

Please note that this draft Opinion should not be furnished to any other person, firm, or corporation. As it is only a draft, it should also not be quoted from, or relied on by you for any purpose. The draft Opinion is an attorney work product and is protected by the attorney/client privilege. However, this privilege can be lost if the Opinion is disclosed to anyone outside of Synta Pharmaceuticals Corp.

After you have read the draft Opinion, please return the original draft Opinion to us, and destroy any copies. Once you return the draft, we will provide you with our final Opinion in this matter. If you have any questions, please feel free to call.

Very truly yours,

Steven G. Davis

SGD/BSL
Enclosures

cc: David E. Brook, Esq.
Booyong Shim Lim, Ph.D.

HeaderName

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